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36672 7590 11/12/2004

CHARLES E. BAXLEY, ESQ.  
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01/07/2005 HGUTEMAE 00000031 10791444

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

|                          |                    |
|--------------------------|--------------------|
| Charles E. Baxley        | (Depositor's name) |
| <i>Charles E. Baxley</i> | (Signature)        |
| January 3, 2005          | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/791,444      | 03/01/2004  | Chang-Chuan Lee      | 14262 B             | 3437             |

TITLE OF INVENTION: RATCHET WRENCH HAVING A PIVOTAL HEAD

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE            | PUBLICATION FEE | TOTAL FEE(S) DUE      | DATE DUE   |
|----------------|--------------|----------------------|-----------------|-----------------------|------------|
| nonprovisional | YES          | <del>\$88X</del> 700 | \$300           | <del>\$88X</del> 1000 | 02/14/2005 |

| EXAMINER        | ART UNIT | CLASS-SUBCLASS |
|-----------------|----------|----------------|
| THOMAS, DAVID B | 3723     | 081-177800     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Charles E. Baxley

2 \_\_\_\_\_  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
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- ☒ A check in the amount of the fee(s) is enclosed.  
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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Charles E. Baxley*

Date January 3, 2005

Typed or printed name Charles E. Baxley

Registration No. 20,149

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant : Chang-Chuan LEE                      Docket Number : 14262 B  
Serial No. : 10/791,444                      Examiner : David B. THOMAS  
Filed : March 1, 2004                      Art Unit : 3723  
For : **RATCHET WRENCH HAVING A PIVOTAL HEAD**

Transmitted herewith is/are the following:

x PART B - FEE(S) TRANSMITTAL w/MAILING CERTIFICATE

x Check in the amount of \$ 1,00.00

x Other Acknowledgment Postcard

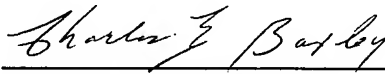
x The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.

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x I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 3, 2005.

Respectfully,

Dated: January 3, 2005  
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CHARLES E. BAXLEY  
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USPTO REG. 20,149

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